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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/896,276	06/29/2001	Michael V. DiBiasio	112025-0478	7993
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CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210			EXAMINER EL CHANTI, HUSSEIN A	
			ART UNIT 2157	PAPER NUMBER
DATE MAILED: 10/19/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/896,276

Applicant(s)

DIBIASIO ET AL.

Examiner

Hussein A. El-chanti

Art Unit

2157

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 27 September 2005.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Response to Amendment

1. This action is responsive to amendment received on Sep. 27, 2005. Claims 22 and 23 were newly added. Claims 1-23 are pending examination.

Claim Rejections - 35 USC § 102

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-23 are rejected under 35 U.S.C. 102(b) as being anticipated by Yin, U.S. Patent No. 5,926,458.

Yin teaches the invention explicitly as claimed including a system and method for classifying traffic flows according to a predefined criteria (see abstract).

As to claims 1, 13 and 22, Yin teaches an intermediate network device for use in a computer network having a plurality of entities configured to issue requests to reserve network resources for use by traffic flows, the reservation requests specifying one or more flow parameters, the intermediate network device comprising:

a traffic scheduler having one or more network resources for use in forwarding network traffic received at the device at different rates (see col. 5 lines 15-col. 6 lines 67, server determines rate requirement);

a classification engine configured to identify network messages belonging to respective traffic flows based upon predefined criteria (see col. 5 lines 15-col. 6 lines 67, packets are identified in a traffic flows according to a set of rules);

a resource reservation engine in communicating relationship with the traffic scheduler and the classification engine, the resource reservation engine including a flow

analyzer (see col. 5 lines 15-col. 6 lines 67, packets are analyzed to determine a flow priority); and

one or more sets of predefined heuristics that are accessible by the flow analyzer, wherein the flow analyzer applies the one or more sets of predefined heuristics to the one or more flow parameters specified in the reservation requests (see col. 5 lines 15-col. 6 lines 67), and

in response to the application of the one or more sets of predefined heuristics, the flow analyzer selects a queue and/or a queue servicing algorithm for assignment to the traffic flow corresponding to the reservation request (see col. 5 lines 15-col. 6 lines 67, a queue is selected as a result of the determination).

As to claim 2, Yin teaches the intermediate network device of claim 1 wherein the classification engine is directed to identify network messages belonging to the traffic flow, and the traffic scheduler is directed to place network messages identified as belonging to the traffic flow in the selected queue (see col. 5 lines 15-col. 6 lines 67).

As to claims 3 and 16, Yin teaches the intermediate network device and method of claims 1 and 13 respectively wherein the selected queue is one of a priority queue (PQ) and a reserved queue, and the PQ is drained before any other queues (see col. 5 lines 15-col. 6 lines 67).

As to claims 4, 17 and 23, Yin teaches the intermediate network device and method of claims 3 and 14 respectively wherein a first set of heuristics is provided for determining whether the respective traffic flows carry real-time voice information, and a

traffic flows that are determined to carry real-time voice information are assigned s to the PQ (see col. 5 lines 15-col. 6 lines 67).

As to claim 5, Yin teaches the intermediate network device of claim 4 wherein the flow parameters include one or more of an average data rate, a peak data rate and a token bucket rate (see col. 5 lines 15-col. 6 lines 67).

As to claims 6 and 20, Yin teaches the intermediate network device and method of claims 4 and 13 respectively wherein the resource reservation engine utilizes the Resource reSerVation Protocol (RSVP) specification standard, and 4 the flow parameters are located in a RSVP Reservation (Resv) message received s by the device (see col. 5 lines 10-15).

As to claims 7, 19 and 21, Yin teaches the intermediate network device and method of claims 6 and 13 wherein the flow parameters include one or more of a token bucket rate (r) value, a token bucket size (b) value and a peak data rate (p) value (see col. 5 lines 15-col. 6 lines 67).

As to claims 8 and 14, Yin teaches the intermediate network device and method of claims 7 and 13 respectively wherein a first set of predefined heuristics is given by the following equation:

$(r < r') \text{ AND } (b \leq b') \text{ AND } p \leq p_to_r'$ where, r' is a programmable token bucket rate constant, b' is a programmable token bucket size constant, and p_to_r' is a ratio of peak data rate to token bucket rate constant (see col. 5 lines 15-col. 6 lines 67).

As to claims 10 and 18, Yin teaches the intermediate network device of claims 4 and 17 respectively wherein a reserved queue is selected for each traffic flow that does not satisfy the first set of heuristics, and

a Weight Fair Queuing (WFQ) queue servicing algorithm is applied to the reserved queues (see col. 5 lines 15-col. 6 lines 67).

As to claim 11, Yin teaches the intermediate network device of claim 2 wherein the flow analyzer, in response to the application of the one or more sets of heuristics, associates a selected Per-Hop Behavior (PHB) with the traffic flow corresponding to the reservation request (see col. 5 lines 15-col. 6 lines 67).

As to claim 12, Yin teaches the intermediate network device of claim 1 wherein the resource reservation engine utilizes the Resource reSerVation Protocol (RSVP) specification standard, and

a the flow parameters are located in a RSVP Reservation (Resv) message received by the device (see col. 5 lines 15-col. 6 lines 67).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 9 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yin.

Yin teaches allocating bandwidth to users. Yin does not explicitly teach r' is approximately 12288 bytes/second, b' is approximately, 592 bytes/second and $p_{\text{to-}r'}$ is approximately 110 percent.

It would have been obvious for one of the ordinary skill in the art at the time of the invention to use r' being approximately 12288 bytes/second, b' is approximately, 592 bytes/second and $p_{\text{to-}r'}$ is approximately 110 percent because doing so would guarantee sufficient bandwidth to service the queue.

Response to Arguments

4. Applicant's arguments have been fully considered but are not persuasive.

Applicant argues in substance that Yin does not disclose one or more sets of predefined heuristics that are accessible by the flow analyzer, wherein the flow analyzer applies the one or more sets of predefined heuristics to the one or more flow parameters specified in the reservation requests.

In response, Yin teaches a method and system for servicing queues based on a queue service order. The queue service order is generated by the packet scheduler. The length of the data packet $P(i)$ at the head of the queue, in addition to other parameters are used when determining the queue service order (see col. 6 lines 32-57 and col. 5 lines 26-40) and therefore Yin teaches "one" or more sets of predefined heuristics that are accessible by the flow analyzer, wherein the flow analyzer applies the "one" or more sets of predefined heuristics to the one or more flow parameters specified in the reservation requests.

5. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hussein A. El-chanti whose telephone number is (571)272-3999. The examiner can normally be reached on Mon-Fri 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (571)272-4001. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hussein El-chanti

Oct. 14, 2005


ARIU ETIENNE
SUPERVISOR/PATENT EXAMINER